

O/SB/08A and 08B (modified)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Complete if Known

<b>Application Number</b>	10/731,465
<b>Filing Date</b>	12/09/2003
<b>First Inventor</b>	Jeffrey Whitsett
<b>Art Unit</b>	1632
<b>Examiner Name</b>	David A. Montanari
<b>Attorney Docket No.</b>	0010872.0507287

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant	Pages, columns, lines where relevant passages or relevant figures appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant	Pages, columns, lines where relevant passages or relevant figures appear	T <sup>6</sup>

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
		DAVIS, A.J. et al., "Lung Function in Premature Lambs and Rabbits Treated with a Recombinant SP-C Surfactant," Am J. Respir. Crit Care Med. (1998) vol. 157, pp. 553-559.	
		GLASSER, S.W. et al., "Macrophage Dysfunction and Susceptibility to Pulmonary Pseudomonas Aeruginosa Infection in Sp-C Deficient Mice," Division of Pulmonary Biology, Division of Human Genetics, Department of Pediatrics, Children's Hospital Medical Center & the University of Cincinnati College of Medicine (May 2, 2008) pp. 1-36.	
		GLASSER, S.W. et al., "Surfactant Protein C Deficient Mice are Susceptible to Respiratory Syncytial Virus Infection," Division of Pulmonary Biology, Cincinnati Children's Hospital Medical Center, (Unpublished) pp. 1-39.	
		HOKUTO, I. et al., "Stat-3 is Required for Pulmonary Homeostasis during Hyperoxia," J. Clin. Invest., vol. 113 (2004) pp. 28-37.	
		IKEGAMI, M. et al., "Intratracheal Recombinant Surfactant Protein D Prevents Endotoxin Shock in the Newborn Preterm Lamb," Division of Pulmonary Biology, Cincinnati Children's Hospital, University of Cincinnati and Ganzyme Corporation (March 21, 2006) pp. 1342-1347.	
		IKEGAMI, M. et al., "Surfactant Protein-D and Surfactant Inhibit Endotoxin-Induced Pulmonary Inflammation," Chest, American College of Chest Physicians (11/2007) pp. 1447-1455.	
		JOBE, A.H., "Pulmonary Surfactant Therapy," The New England Journal of Medicine, vol. 328(12) (Mar. 25, 1993) pp. 1-12.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature	/David Montanari/	Date Considered	01/05/2009
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